

About Dave Thomas

Financial Adviser

Phone: 027 668 4503

Email: dave@accelerateme.co.nz

FSPR: 68561



Your Financial Adviser

Dave Thomas is your Financial Adviser and he is providing advice on behalf of Wealthpoint Ltd.

Wealthpoint Ltd (<http://wealthpoint.co.nz/wealthpoint-disclosure/>) is the Financial Advice Provider Licence holder. FSP 678011

Dave is a Director and Shareholder of Accelerate Consulting Ltd

(<https://accelerateme.co.nz/about-us/>)

Accelerate Consulting Ltd is a founding member business of the Wealthpoint Ltd Group of Adviser businesses.

Qualifications

I hold the following qualifications which are relevant to my role in providing financial advice:-

- New Zealand Certificate in Financial Services (Level 5) - Investment, Life, Health & Disability.
- Certificate for Accredited Investment Fiduciary – Center for Fiduciary Studies (USA) obtained 2005
- Master of Business Administration – Henley Business College (UK) obtained in 2000
- Postgraduate Diploma in Business (Finance) – University of Auckland obtained in 1994

Experience

I have been providing financial advices on a wide range of products and services for my clients since 2001. Previously under my company Financial Health Ltd which was a member of the AMP Adviser Distribution Group. Prior to this I have been employed in various roles in the Banking and Insurances industry since 1977.

Professional Memberships

I have been a member of Financial Advice New Zealand since 2018

Duties Information

I am bound by the duties of the Financial Markets Conduct Act to :

- maintain my knowledge and skills as set out in the Code of Conduct for Financial Advisers,
- always give priority to my client's interests
- exercise care, diligence, and skill when preparing and providing advice to my clients
- ensure I meet the standards of ethical behaviour, conduct, and client care set out in the Code

In addition Wealthpoint requires that I meet the standards of competence outlined in the Wealthpoint Professional Standards Manual,

Nature and Scope of Financial Advice Services

<p>Our Services</p> <ul style="list-style-type: none"> • Personal Insurance Planning • KiwiSaver investment strategies and retirement planning • Investment and Savings Strategies 	
<p>Products I can Advise on</p> <ul style="list-style-type: none"> • Personal and Group Insurance (Life Cover, Disability, Income Protection and Trauma) • KiwiSaver Investments • Managed Investments • Life Investment Products including Whole of Life and Endowment policies • Domestic General Insurance (House, Contents, Car & Boat) • Commercial General Insurance (Material Damage, Business Interruption, Car & Liability) 	
<p>Product Providers we may recommend are limited to those approved by Wealthpoint as follows:-</p>	<p>However, I do not work with the following Providers</p>
<ul style="list-style-type: none"> • Life Insurance Providers • AIA Life • AMP Life • Asteron Life • Fidelity Life • Partners Life • Health Insurance Providers • AIA Health • NIB Health • Southern Cross Healthcare • KiwiSaver Providers • AMP KiwiSaver • ANZ/OneAnswer KiwiSaver • Booster KiwiSaver • Fisher Funds KiwiSaver • Milford AM KiwiSaver • Nikko AM KiwiSaver • Managed Investments Providers • OneAnswer Multi Class Funds • OneAnswer Single Asset Funds • Nikko AM NZ Investment Scheme (Nikko Goals Getter). • General Insurance Providers • AMP General Insurance • Vero Insurance • Vero Liability • Vero Marine • Star Insurance • Classic Car Insurance 	

How do I get paid for the services that I provide to you?

I am required to tell you the specific fees, commissions and other benefits that I have received or will or may receive in relation to the services that I provide to you. I must tell you these things before I give you advice or, if that is not practicable, as soon as practicable after I give you that advice.

Fees

I may charge a fee for my time in preparing and providing financial advice to you. If I intend to, I will advise you of the amount of any fee before commencing any work so that you have time to consider whether or not to contract me to do so.

Commissions

Accelerate or I may receive commissions and asset under management service fees from the insurance and investment providers on whose products we recommend, and you take out. The amount of commission is based on the premium you pay and assets under management is based on the total balance of funds you have invested. More detail is provided at the time our advice is given. Wealthpoint retains a percentage of any fees or commissions that are paid to Accelerate.

Conflicts of Interest

We are here for our clients and to advise you as best we can. Your interests are our priority although we do have business relationships with product providers also. From time to time our product providers assist us with funding so we can bring our advisers together for conferences and professional development training. We also receive remuneration from some product providers such as commissions, and asset under management fees.

We manage these conflicts of interest by ensuring that we prioritise your interests above our own. The advice we provide is based on understanding your goals and circumstances and providing recommendations which are based on research. From time to time, Product Providers may also reward us for business we provide to them. For example, they may give us tickets to events, hampers, or other incentives. I have a Register of Conflict which allows me to actively manage any conflicts which arise.

Reliability History

I, Dave Thomas have no relevant information to report. I have not been bankrupt or insolvent, have any convictions or been publicly disciplined.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell my internal complaints scheme so that my internal complaints scheme can try to fix the problem.

You may contact the internal complaints scheme by:

Contact Name	Jared Fenwick
Address:	PO Box 317012 Hobsonville Auckland 0664
Telephone number:	09 524 0448

Email address: jared@accelerateme.co.nz

I would welcome the opportunity to rectify any concerns that you might have, but please be advised that you may contact the above if you feel in any way that I have let you down.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the Insurance & Savings Ombudsman. This service will cost you nothing and will help us resolve any disagreements.

You can contact the Insurance & Savings Ombudsman at -

Address: Level 2, Solnet House,
70 The Terrace,
PO Box 10845,
Wellington 6143

Telephone number: 04 499 7612

Email address: info@ifso.nz

If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me.

If you have a question about financial advisers generally, you can contact the Financial Markets Authority.

How am I regulated by the Government?

You can check that I am a registered financial services provider and a Financial Adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under [What should you do if something goes wrong?](#)).

Signed:

Dave Thomas

Dated: 15th March 2021