

Secondary Disclosure Statement (Authorised Financial Adviser)

Contact Details

Name and registration number of Authorised Financial Adviser:	Stephen Trevor Goodman
Address:	8 Regent Street Whakapirau RD1 Maungaturoto
Trading name:	Steve Goodman Insurance services ltd.
Telephone number:	09 280 9917
Fax number:	Nil
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This disclosure statement was prepared on:	01/04/2020

Services I provide financial adviser services on

- Financial advice

Financial products I provide financial adviser services on

I can provide you with financial adviser services in respect of the following types of financial products:

Insurance	Investments
Life protection Disablement Trauma Income protection Fire and General Domestic, house contents, cars, boats Commercial, business covers. Health Whole of life Endowment	AMP Savings and Investment Portfolio

Relevant interests, relationships and associations

I am a contractor of Accelerate Consulting Ltd ("Accelerate") who contracts to Wealthpoint. This enables Accelerate to sell financial products and services made available through Wealthpoint.

My wife and I jointly hold 100% of the shares in my contracting company Steve Goodman Insurance Services Ltd.

- My wife and I are Director(s) and Shareholder(s) of Steve Goodman Insurance services ltd.
- My wife and I may receive 100% share of any of its profits that are distributed, some of which may directly or indirectly relate to my sale of financial products and providing financial advice.

Other than those disclosed above, neither I nor any associated person of mine has or will have or may have any other interest, relationship, or association that a reasonable client would find reasonably likely to materially influence me in providing the financial adviser services.

Product Providers

I am only permitted to provide financial adviser services in respect of those products that are on the Approved Products Lists that I have accreditation for under the terms of my Wealthpoint Practising Certificate, or for which a dispensation has been granted by the Investment Committee (or a delegated authority).

The product providers of the financial products that I may provide financial adviser services on are listed in Appendix A.

Relevant Fees

I do not generally charge a fee for the financial adviser service I provide to you. On the very rare occasion that a fee will be charged, I will inform you of the amount of any fee, before work is undertaken.

Relevant Remuneration

- Up-front/initial commission
- Servicing/renewal commission
- Investment Product Service Payments
- Assets Under Management commission.

All commission is paid by the particular product provider to Wealthpoint. Commission on all products is paid from Wealthpoint to Accelerate, which then pays me.

Accelerate may qualify for a financial bonus (AMP Growth Bonus). The financial bonus is calculated quarterly. This bonus rewards Accelerate based on the net annual growth of selected products sold by advisers in Accelerate.

Accelerate may also qualify for an AMP General Insurance Profit Share bonus. The financial bonus is calculated annually. This bonus rewards Accelerate based on the net annual growth, and retention of AMP General Insurance by the advisers in Accelerate and the overall profitability of AMP General Insurance.

A portion of that financial bonus may be paid to me, the amount of which is yet to be determined.

Depending on the total amount of business I place with a particular product provider, I may receive (from that product provider), invitations to conferences, hosted entertainment events, corporate gifts, professional training and marketing support. Product providers may also from time to time offer sales incentive competitions, with rewards such as gifts, travel and conferences. Whether or not I will receive non-cash rewards and benefits such as those outlined above, and the value of such rewards or benefits, is not known to me at this time, and I will not know whether I am entitled to such rewards or benefits until sometime after I have provided the financial adviser service to you. Whether or not I receive such non-cash rewards and benefits, such as those outlined above, and the value of such rewards or benefits, is not dependent on the financial adviser service I provide to you. Instead, these rewards and benefits may be based on the collective business I generate for that product provider.

It is not practicable for me to provide all relevant remuneration information that I am required to include in this disclosure statement, which relates to the specific remuneration I, or my principal may receive on providing a financial adviser service to you, which will be dependent on your needs and circumstances and my analysis in this regard. I will provide further details of the amount or rate of such remuneration (to the extent practicable), the name of the person it is received from and details of the arrangements I have in place to manage conflicts of interest that may arise, when I provide you with the financial adviser service.

I manage any conflicts of interest that may arise from the receipt or possible receipt of the above types of remuneration in the following ways:

- As an Authorised Financial Adviser I am obliged to place the interests of my clients first and only recommend financial products that are suitable for my clients.
- Providing and discussing my disclosure statements with each client before I provide my service to each client.
- Disclosing and explaining any perceived or potential conflicts of interest to each client when completing the advice process with that client.
- I do not have prescribed quotas or other such arrangements that require a minimum proportion of sales be placed with a particular product provider.

Relevant Qualifications and Experience

I have the following qualification/s relevant to providing financial adviser services:

- The National Certificate in Financial Services (Financial Advice) (level 5) obtained on 1 April 2011
- Fundamentals of Investment, Adviser link obtained in 2008
- Business Financial Services, Adviser link obtained in 2003
- Introduction to Financial Planning, Adviser link obtained in 2000

I previously have been an AMP Adviser since the 22nd of February 1975, and I held a current AMP Practicing Certificate until 1st November 2019 when I joined Wealthpoint. I attend in house and Industry training each year to maintain this certificate.

I have held a Wealthpoint Practicing Certificate since 1st November 2019. This is a certificate issued to all qualifying Wealthpoint Advisers. I attend in-house and industry training each year to retain my Practicing Certificate.

I ensure my competence is maintained and tested on an ongoing basis through my continuing professional development plan. My plan is developed, maintained and kept up-to-date by:

- Undertaking and evidencing a minimum of 30 hours of structured professional development every 24 months;
- Completing and maintaining a personal record of areas for improvement in my competence, skills and knowledge and any proposals for addressing those areas for improvement;
- Using an online learning management facility, which allows me to plan and record my own development activity, show progress against my continuing professional development requirements and show whether I am behind or on target to meet those requirements;
- Regulatory training I receive that is arranged, administered and paid for by Wealthpoint or other suppliers, and
- I receive frequent and regular communications to update me on regulatory changes.

I have over 41 years' experience in the financial services industry.

I have been contracted to Accelerate since 2020

as a financial adviser.

My role involves: providing advice and insurance solutions to clients and prospective clients of Steve Goodmon

Membership of a Professional Body

I am not a member of any professional body that is relevant to providing financial adviser services.

Professional Indemnity Insurance

I have and Accelerate has Professional Indemnity Insurance covering myself and Steve Goodmon Insurance Services Ltd, in respect of a wrongful professional act. As with all insurance, this cover is subject to certain exclusions.

Appendix A

- The AMP group of companies
- Asteron
- Fidelity Life
- AIA
- Southern Cross
- Comprehensive travel

Declaration

I Stephen Trevor Goodmon declare that, to the best of my knowledge and belief, the information contained in the disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers act 2008 and the Financial Advisers (Disclosure) Regulations 2010

Signed

Stephen Trevor Goodmon

Dated 01/04/2020